

Effect of seed electron injection on chorus-driven acceleration of radiation belt electrons

YAN Qi^{1,2}, SHI LiQing^{1*} & LIU SiQing¹

¹Center for Space Science and Applied Research, Chinese Academy of Sciences, Beijing 100190, China;

²Graduate University of Chinese Academy of Sciences, Beijing 100049, China

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Using the hybrid finite difference method, we solve the Fokker-Planck equation to study the effect of seed electron injection on acceleration of radiation belt electrons driven by chorus waves. Numerical results show that in the absence of injection chorus waves can accelerate electrons at large pitch angles ($\alpha_c > 60^\circ$), producing enhancements in the phase space density (PSD) of (1–2 MeV) electrons by a factor of 100–1000 within 1–2 days. In the presence of injection, chorus waves yield increase in PSD of electrons by accelerating the injected seed electrons. Meanwhile, the PSD evolution increases as the pitch angle increases but decreases as electron energy increases. Moreover, the PSD evolution can extend to higher energies with a time scale of 1–2 days for 1–2 MeV energies. When the injection increases by a factor of 10 higher than the initial value and remains for about two days, maximum values of PSD for 1 or 2 MeV increase to 6 or 3 times respectively higher than those without injection in two days. The current results suggest that the injected seed electrons play an important role in the evolution of the radiation belt electrons.

seed electrons, chorus waves, radiation belt electrons

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1 Introduction

The radiation belts which contain energetic (100 keV to a few MeV) electrons are separated into an inner region and an outer region by the slot of a lower electron flux [1]. The outer radiation belt is highly variable particularly during geomagnetic storms or other disturbances and energetic electron flux can vary by several orders of magnitude over periods from hours to days [2–6]. This variation of energetic electrons causes serious risk to spacecraft and astronauts. Therefore, it is important to study the distribution and evolution of outer radiation belt electrons.

Numerous possible mechanisms have been proposed to

explain the dramatic variation of the energetic electron flux in the outer radiation belt. During geomagnetic storms, dramatic variation of the geomagnetic field occurs primarily due to growth and decay of the ring current. In response to such a large scale variation of geomagnetic storms in time and space, radiation belt electrons experience an adiabatic transport [6–11]. In this process, three adiabatic invariants keep constant, whereas variations in the pitch angle, energy and L -shell occur. However, previous observations have shown that the energetic electron flux does not return to the previous storm level [12], indicating that besides the adiabatic process some non-adiabatic transport processes also contribute to the flux variation. One of those processes is radial diffusion driven by the ultra-low-frequency (ULF) waves, e.g., the inward radial diffusion is able to accelerate electrons because of strengthening of the magnetic field and

*Corresponding author (email: slq@earth.sepc.ac.cn)

the conservation of the first adiabatic invariant [13–15]. Another adiabatic process is resonant interaction between electrons and various electromagnetic waves with different frequencies [16–27]. This process can produce either stochastic acceleration or precipitation loss of energetic electrons in the inner magnetosphere, leading to the variation in flux of energetic electrons during storms.

Observational work [28–31] has demonstrated that chorus emissions are often present in the low-density region outside the plasmopause, with typical frequencies between 0.05 and 0.8 $|\Omega_e|$ ($|\Omega_e|$ is the electron gyrofrequency) over the magnetic local time 2200–1300. The typical amplitudes for chorus waves are about 1–100 pT and can reach 1 nT during strong storms, even 100 mV m⁻¹ in some extreme cases. Previous studies [17, 25] have shown that radiation belt electrons can be efficiently accelerated by the resonant interaction between electrons and chorus waves.

Seed (tens to hundreds of keV) electrons, which transport towards the earth from the magnetotail during substorms, are an important source of energetic electrons in the radiation belt [32–34]. In order for this, seed electrons need to be accelerated to high energies by some mechanisms. Apart from the adiabatic process of acceleration, the resonant interaction with chorus waves may also play an important role. However, there are currently few studies on the influence of seed electron injection on the evolution of the radiation belt electrons. In this study, we shall provide a quantitative simulation in the framework of the quasi-linear theory.

2 Numerical method

Here, we use the quasi-linear model developed by Su et al. [35] to simulate the interaction between electrons and chorus waves propagating along the magnetic field. We then solve the 2D bounce-averaged Fokker-Planck equation by using the hybrid finite difference (HFD) method developed by Xiao et al. [25]. Such HFD method, which is efficient and stable for treating whistler-electron interaction, has been adopted and proved very well by numerous groups [6, 25–27, 35–45].

The quasi-linear bounce-averaged Fokker-Planck diffusion equation describing resonant interaction between electrons and chorus waves can be written as [46]

$$\frac{\partial f}{\partial t} = \frac{1}{G} \frac{\partial}{\partial \alpha_e} \left[G \left(\langle D_{\alpha\alpha} \rangle \frac{\partial f}{\partial \alpha_e} + \langle D_{\alpha p} \rangle \frac{\partial f}{\partial p} \right) + \frac{1}{G} \frac{\partial}{\partial p} \left[G \left(\langle D_{p\alpha} \rangle \frac{\partial f}{\partial \alpha_e} + \langle D_{pp} \rangle \frac{\partial f}{\partial p} \right) \right], \quad (1)$$

$$G = p^2 T(\alpha_e) \sin \alpha_e \cos \alpha_e, \quad (2)$$

$$T \approx 1.30 - 0.56 \sin \alpha_e, \quad (3)$$

where f denotes the phase space density (PSD) of electrons, t denotes time, α_e and p represent equatorial pitch angle and momentum of electrons, $\langle D_{\alpha\alpha} \rangle$, $\langle D_{pp} \rangle$, $\langle D_{\alpha p} \rangle = \langle D_{p\alpha} \rangle$ stand for bounce-averaged pitch-angle, momentum and cross diffusion coefficients respectively.

Assuming that the background magnetic field is a dipole field, we can obtain expressions of bounce-averaged diffusion coefficients above as follows [47]:

$$\langle D_{\alpha\alpha} \rangle = \frac{1}{T} \int_0^{\lambda_m} D_{\alpha\alpha} \frac{\cos \alpha}{\cos^2 \alpha_e} \cos^7 \lambda d\lambda, \quad (4)$$

$$\langle D_{pp} \rangle = \frac{1}{T} \int_0^{\lambda_m} D_{pp} \frac{(1 + 3 \sin^2 \lambda)^{1/2}}{\cos \alpha} \cos \lambda d\lambda, \quad (5)$$

$$\langle D_{\alpha p} \rangle = \frac{1}{T} \int_0^{\lambda_m} D_{\alpha p} \frac{(1 + 3 \sin^2 \lambda)^{1/4}}{\cos \alpha_e} \cos^4 \lambda d\lambda, \quad (6)$$

where λ is magnetic latitude, λ_m is the latitude of the mirror point determined by α_e , α is the local pitch angle at λ (corresponding to α_e in the equatorial plane), $D_{\alpha\alpha}$, D_{pp} , $D_{\alpha p} = D_{p\alpha}$ which represent the local pitch angle, momentum and cross diffusion coefficients, are given by [48, 49]

$$D_{\alpha\alpha} = \frac{|\Omega_e|^2}{p^2} \left(\frac{p^2}{\gamma^2} I_0 - 2 \cos \alpha \frac{cp}{\gamma} I_1 + \cos^2 \alpha c^2 I_2 \right), \quad (7)$$

$$D_{pp} = c^2 |\Omega_e|^2 \sin^2 \alpha I_2, \quad (8)$$

$$D_{\alpha p} = -c |\Omega_e|^2 \sin \alpha \left(\frac{I_1}{\gamma} - \frac{c \cos \alpha}{p} I_2 \right), \quad (9)$$

$$I_n = \pi \sum_{\omega_i} \left\{ \frac{B_\omega^2}{B_0^2(\lambda)} \left(\frac{\omega_i}{ck_r} \right)^n \left| 1 - \cos \alpha \frac{p}{\gamma} \frac{dk}{d\omega} \right|_{\omega=\omega_i}^{-1} \right\}, \quad (10)$$

$$B_0(\lambda) = 3.12 \times 10^4 \frac{(1 + 3 \sin^2 \lambda)^{1/2}}{L^3 \cos^6 \lambda} \text{nT}, \quad (11)$$

$$B_\omega^2 = \begin{cases} B_n \exp \left[-(\omega - \omega_m)^2 / \delta \omega^2 \right], & \omega_1 \leq \omega \leq \omega_2 \\ 0, & \text{otherwise,} \end{cases} \quad (12)$$

$$B_n = \frac{2B_t^2}{\pi^{1/2} \delta \omega} \left[\text{erf} \left(\frac{\omega_2 - \omega_m}{\delta \omega} \right) + \text{erf} \left(\frac{\omega_m - \omega_1}{\delta \omega} \right) \right]^{-1}, \quad (13)$$

where, ω_i and k_r which are the resonant wave frequency and wave number, satisfy both the resonant condition between electrons and the field-aligned chorus waves

$$\omega - (v \cos \alpha)k = |\Omega_e|/\gamma, \quad (14)$$

and the dispersion of the chorus waves

$$c^2 k^2 = \omega^2 - \frac{\omega \omega_{pe}^2}{\omega - |\Omega_e|}. \quad (15)$$

Here $n = 0, 1, 2$, ω_{pe} is plasma frequency, c is the speed of light, v is the velocity of electrons, $\gamma = (1 - v^2/c^2)^{-1/2}$ is Lorentz factor, and B_0 denotes the ambient magnetic field. We assume that chorus waves follow a Gaussian frequency distribution. $\text{erf}(x)$ is the error function, B_ω^2 is the wave spectral intensity, B_l is the wave magnitude, B_n is the normalized factor, ω_m is the center frequency, $\delta\omega$ is the half width, ω_1 and ω_2 are the lower and upper frequencies.

We evaluate the effect of wave-particle scattering at $L = 4.5$. We choose the simulating area as $[0^\circ, 90^\circ] \times [0.2 \text{ MeV}, 5.0 \text{ MeV}]$ in the space (α_e, E_k) . The numerical grid is 101×101 and the time step is 5 s. We assume the initial PSD as a typical kappa distribution [50]:

$$f(t=0, \alpha_e, p) = C \left(\frac{p \sin \alpha_e}{\theta_\kappa} \right)^{2l} \left[1 + \frac{p^2}{\kappa \theta_\kappa^2} \right]^{-(\kappa+l+1)}, \quad (16)$$

$$C = \frac{N \Gamma(\kappa+l+1)}{\pi^{3/2} \theta_\kappa^3 \kappa^{l+3/2} \Gamma(l+1) \Gamma(\kappa-1/2)}. \quad (17)$$

Here l is the loss cone index, θ_κ^2 is the effective thermal parameter, N is the number density, κ is the spectral index, and Γ is the gamma function. In our simulation, we choose $\kappa=6$, $\theta_\kappa^2 = 0.15$, $l=0.5$ based on the observations.

Assume a rapid precipitation of electrons into the atmosphere inside the loss-cone $\alpha_e = \alpha_L$ ($\sin \alpha_L = L^{-3/2} (4 - 3/L)^{-1/4}$). We take $f(\alpha_e = \alpha_L) = 0$ and $\partial f(\alpha_e = \pi/2) / \partial \alpha_e = 0$. The upper energy boundary condition is $f=0$ at $E_k = 5 \text{ MeV}$, implying no net loss or increase of electrons at high energies.

To study the effect of the seed electron injection, we choose the injection boundary model with 200 keV seed electrons as $f(E_k=0.2 \text{ MeV}) = C_i f(t=0, E_k=0.2 \text{ MeV})$, where C_i is a function of time. We simulate the evolution of PSD in two cases (Figure 1): (A), $C_i=1$, corresponding to the lower fixed boundary condition; (B), variation of C_i with time, corresponding to electron injection increasing to ten times of the initial value in the first one hour and lasting for about two days.

3 Results and discussion

Since chorus wave covers a broad MLT region, we consider the dayside and nightside chorus waves for the evaluation of diffusion terms. The parameters of chorus waves on both sides are chosen as follows: on the dayside, $\omega_1 = 0.1 |\Omega_{eq}|$ ($|\Omega_{eq}|$ is the equatorial electron gyrofrequency), $\omega_2 = 0.3 |\Omega_{eq}|$,

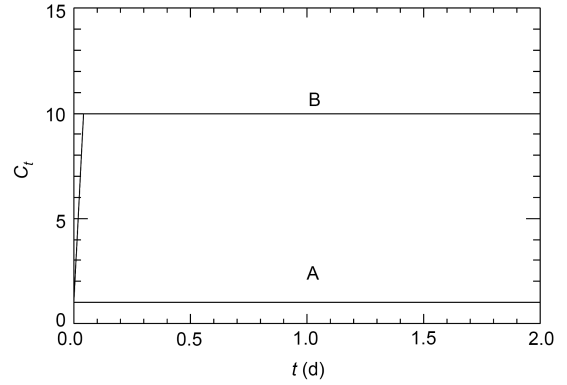


Figure 1 Lower energy boundary conditions of cases A and B.

$\delta\omega = 0.1 |\Omega_{eq}|$, $\omega_m = 0.2 |\Omega_{eq}|$, $B_l = 10^{0.75+0.04\lambda}$ [pT] (here $\lambda < 35^\circ$) and the equatorial $\omega_{pe}/|\Omega_e|$ is 4.6; on nightside, $\omega_1 = 0.05 |\Omega_{eq}|$, $\omega_2 = 0.65 |\Omega_{eq}|$, $\delta\omega = 0.15 |\Omega_{eq}|$, $\omega_m = 0.35 |\Omega_{eq}|$, $B_l = 50$ [pT] (here $\lambda < 15^\circ$) and the equatorial $\omega_{pe}/|\Omega_e|$ 3.8. Those parameters are also used by other studies [21, 22, 25, 28]. Since the drift orbit of electrons on the dayside and nightside are about 25% of the whole drift orbit, in the following we apply 25% drift averaging for both the dayside and nightside.

Figure 2 plots two-dimensional bounce-averaged pitch angle, momentum and cross diffusion coefficients. It is shown that diffusion coefficients basically increase as the pitch angle increases when $\alpha_e > 60^\circ$, but vary slowly and smoothly when $\alpha_e < 40^\circ$. Diffusion coefficients in the region of $\alpha_e > 60^\circ$ and $E_k < 1.0 \text{ MeV}$ are generally one order larger than those coefficients outside this region.

Figure 3 shows the temporal PSD evolution from a numerical solution to the diffusion equation (1) by using the HFD method. The left and right panels are the results of case A and case B, respectively. In case A (without injection), electron PSD with energy above 1.0 MeV has a remarkable increase in larger pitch angle area ($\alpha_e > 60^\circ$). In case B (with injection), the injected seed electrons can be efficiently accelerated to very high energies by chorus waves, producing an increase in PSD of radiation belt electrons. In particular, PSD for $\alpha_e > 60^\circ$ and $E_k < 0.5 \text{ MeV}$ increases more rapidly, corresponding to distribution features of diffusion coefficients that they generally increase as the pitch angle increases if $\alpha_e > 60^\circ$.

Figure 4 presents the PSD variation of electrons with the kinetic energy at the fixed pitch angles 20° and 70° for cases A and B. At $\alpha_e = 70^\circ$, in the absence of injection, PSD gradually increases with time, with an higher enhancement for the higher energies below 2.0 MeV. For 2.0 MeV, PSD can reach three orders of magnitude higher than the initial one, suggesting that chorus waves can efficiently accelerate radiation belt electrons and enhance electron PSD by 2–3 orders of magnitude. At $\alpha_e = 20^\circ$, electron PSD increases a little below 1.0 MeV but drops a little above 1.0 MeV in both cases A and B, indicating that chorus waves have a

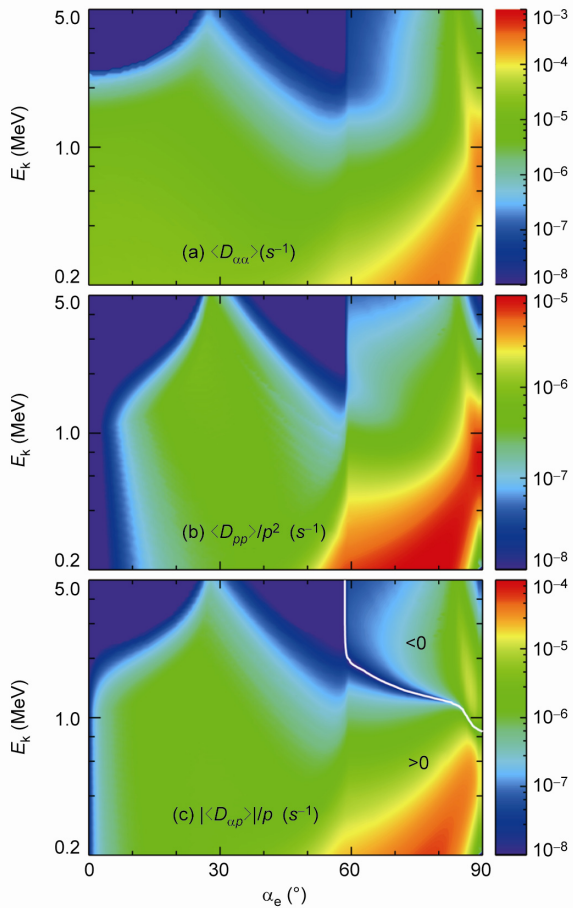


Figure 2 2D bounce-averaged pitch angle (a), momentum (b) and cross (c) diffusion coefficients. Cross term becomes negative above the white line.

little influence on the PSD evolution at small pitch angles. Comparison of cases A and B shows that the seed electron injection plays a more important role in the PSD evolution of lower energy electrons.

In Figure 5, we plot PSD ratio of case B (with injection) over case A (without injection) within one day for different energies 0.5, 1.0 and 2.0 MeV. Clearly, the PSD ratio increases as the pitch angle increases, implying that the chorus waves can produce acceleration of the injected seed

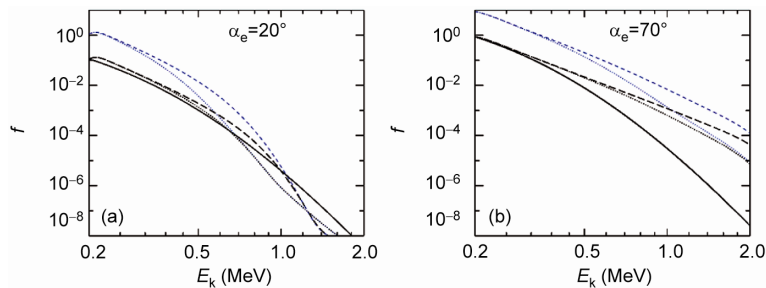


Figure 4 PSD as a function of kinetic energy at fixed equatorial pitch angle with (black) and without (blue) the seed electron injection at times 0 (solid), 1 (dotted) and 2 (dashed) days.

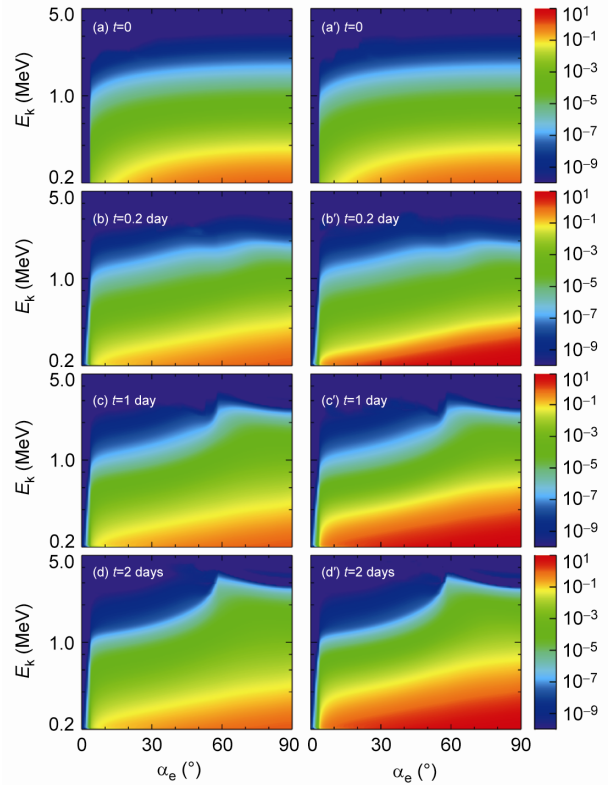


Figure 3 PSD (arbitrary units) at $t = 0, 0.2, 1, \text{ and } 2$ days for cases A (left) and B (right). The purple area marks the loss cone.

electrons especially at higher angles and lead to PSD enhancement of the electrons in the radiation belt. In particular, after two days of injection, PSD with injection is found to be a factor of 5–9 higher than that without injection for $\alpha_e > 60^\circ$. Meanwhile, for $\alpha_e < 40^\circ$, PSD with injection is higher than that without injection by a factor of 7 for 0.5 MeV and 1.5 for energies between 1.0 and 2.0 MeV. This is reasonable since the PSD evolution is essentially controlled by the diffusion terms and the diffusion coefficients in the region of $\alpha_e > 60^\circ$ and $E_k < 1.0$ MeV are generally one order larger than those coefficients outside this region.

Figure 6 gives variation of electron PSD ratio (B/A) with kinetic energy in the cases of $20^\circ, 70^\circ$ and 90° . Obviously,

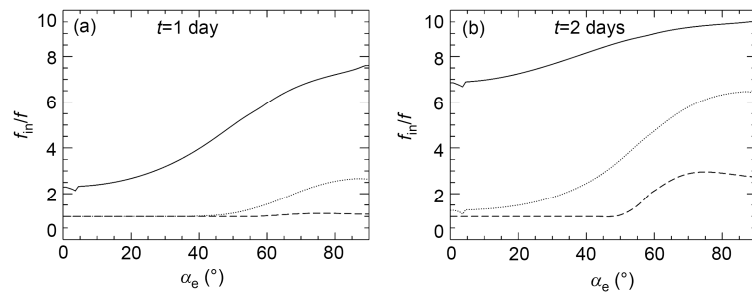


Figure 5 PSD Ratio of tests B to A as a function of equatorial pitch angle in 1 (a) and 2 days (b) for different energies 0.5 (solid), 1.0 (dotted) and 2.0 (dashed) MeV respectively.

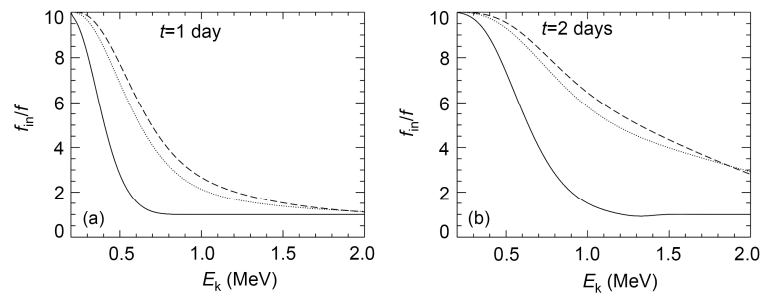


Figure 6 PSD Ratio of tests B to A as a function of kinetic energy in 1 (a) and 2 days (b) for different pitch angles 20° (solid), 70° (dotted) and 90° (dashed) respectively.

the PSD ratio decreases as energy increases since diffusion terms decrease with increasing energy, indicating that enhancement in PSD induced by the seed electrons injection decreases as energy increases. For instance, after two days of injection, PSD with injection is 6 and 3 times of that without seed electron injection for 1 and 2 MeV, respectively.

Here, we focus on the effect of seed electrons with a single energy of 200 keV on the PSD evolution of the radiation belt electrons. We find that seed electron injection affects the lower energy electrons at first and then extends to higher energy electrons. We therefore conclude that the higher the energy is, the shorter the time will be for an obvious PSD variation with energy of MeV.

4 Conclusions

Currently, it has been widely accepted that chorus-driven resonant interaction is an important mechanism responsible for the PSD evolution of electrons in the radiation belt. In this study, we present a quantitative analysis of effect of the seed electron injection on the evolution of the radiation belt electrons via the quasi-linear theory. The obtained results are as follows:

1) When no injection occurs, chorus waves yield acceleration of radiation belt electrons for $\alpha_e > 60^\circ$. For energy below 2.0 MeV, the higher the energy is, the more effective the acceleration is. For energy ranging from 1.0 to 2.0 MeV,

electron PSD can increase to 2 or 3 orders of the initial one. However, PSD variation is relatively small at $\alpha_e < 60^\circ$.

2) When injection occurs, chorus waves can efficiently accelerate seed electrons, leading to enhancement in PSD of radiation belt electrons. PSD induced by those seed electrons increases as the pitch angle increases but decreases as energy of electrons increases. Moreover, the PSD evolution can extend to higher energies with a time scale of 1–2 days for energies of 1–2 MeV. Particularly, after two days of injection, PSD can increase to 5–9 times of that without injection at large pitch angles $\alpha_e > 60^\circ$. At small pitch angles $\alpha_e < 40^\circ$, PSD with injection can increase to 7 and 1.5 times of that without injection for 0.5 MeV and 1–2 MeV, respectively. The current result suggests that seed electron injection plays an important role in chorus-driven acceleration of radiation belt electrons. Those features of the PSD evolution are reasonable since the PSD evolution is essentially controlled by the diffusion terms and the diffusion coefficients in the region of $\alpha_e > 60^\circ$ and $E_k < 1.0$ MeV are generally one order larger than those coefficients outside this region.

3) In this study, we only consider a simple boundary injection model to simulate the effect of seed electron injection on the PSD of electrons. We assume that seed electrons have a fixed energy of 200 keV and are distributed evenly at the lower boundary energy and pitch angles but only the total number of seed electrons changes. Since seed electron injection is a complicated convection and the energy is not simply fixed, the current injection model is not accurate. However, the current result still provides an influence of the

fixed energy seed electrons on the PSD of radiation belt electrons. More accurate model needs to consider the energy distribution of seed electrons and incorporate the seed electron as a source term into the diffusion equation. Taking into account of the convection features of seed electrons simulation shall become more complicated. Moreover, besides the seed electron injection from the outer boundary, some additional mechanism such as shock compression and ULF modulation can also produce seed electrons and contribute to the PSD evolution of radiation belt electrons [51–53]. We shall leave this in a future study.

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